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# Summary of Safety and Clinical Performance

# PALACOS<sup>®</sup> R+G pro

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English

Heraeus Medical

## Title: SSCP PALACOS<sup>®</sup> R+G pro

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### 2 Abbreviations / Explanations

ALBC	Antibiotic-loaded bone cement
AUS BfArM	Australia Federal Institute for Drugs and Medical Devices
	[Bundesinstitut für Arzneimittel und Medizinprodukte]
BCIS	Bone cement implantation syndrome
CAN	Canada
CE	Conformité Européenne
CER	Clinical Evaluation Report
CH	Switzerland
CPR	Cardiopulmonary resuscitation
CS	Common specifications as defined in the MDR
CT	Computer tomography
DIN	Deutsches Institut für Normung [German Standard]
E141	Food colorant, chlorophyll-copper-complex
EN	Europäische Norm [European Standard]
EUDAMED	European Database on Medical Devices
FDA	Food and Drug Administration [USA]
IFU	Instructions for Use
ISO	International Organization for Standardization
GER	Germany
MAUDE	Manufacturer and User Facility Device Experience [USA]
MDD	Medical Device Directive 93/42/EEC
MDR	Medical Device Regulation (Regulation (EU) 2017/745 of the European Parliament and of the
	Council of 5 April 2017 on medical devices, amending Directive 2001/83/EC, Regulation (EC) No
	178/2002 and Regulation (EC) No 1223/2009 and repealing Council Directives 90/385/EEC and
	93/42/EEC)
MHRA	Medicines and Healthcare Products Regulatory Agency in the UK
MMA	Methyl methacrylate
MRI	Magnetic resonance imaging
N	Set of natural numbers
N/A	Not applicable
NB	Notified Body
NJR	National Joint Registry of England, Wales, Northern Ireland, the Isle of Man and the States of
PMCF	Guernsey Post Market Clinical Follow Lin
PMMA	Post-Market Clinical Follow-Up Poly (methyl methacrylate)
PMS	Post-Market Surveillance
SRN	Single registration number for an economic operator
SSCP	Summary of Safety and Clinical Performance
Swissmedic	Swiss Agency for Therapeutic Products
TGA	Therapeutic Goods Agency [Australian Government]
TPLC	FDA Total Product Life Cycle database
UDI-DI	Unique Device Identification - device identifier
UK	United Kingdom
US / USA	United States of America

### **3** General Information

This document applies to implantable class IIb and class III medical devices developed by Heraeus Medical GmbH and is established to comply with the Medical Device Regulation (MDR) 2017/745 (EU) of 5<sup>th</sup> April 2017, valid from May 2021.

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The Summary of Safety and Clinical Performance (SSCP) is intended to provide a summary of clinical data pertinent to the safety and clinical performance of the medical device. The SSCP is an important source of information for intended users – both healthcare professionals and if relevant for patients. It is one of several means intended to fulfil the MDR objectives, to enhance transparency and provide adequate access to information.

### 3.1 Relevant information for Users/Healthcare Professionals

### 3.1.1 Device identification and general information

# 3.1.1.1 Device trade name(s) including all trade names the device may have on the market in different member states

This SSCP covers the product PALACOS<sup>®</sup> R+G pro.

PALACOS<sup>®</sup> R+G pro is part of the PALACOS<sup>®</sup> +G pro product family, which consists of PALACOS<sup>®</sup> R+G pro and PALACOS<sup>®</sup> MV+G pro. In case that both product variants are concerned in this document, the term "PALACOS<sup>®</sup> +G pro bone cements" is used.

### 3.1.1.2 Manufacturer's name and address, manufacturer's single registration number (SRN)

Heraeus Medical GmbH Philipp-Reis-Str. 8/13 61273 Wehrheim Germany

Single registration number (SRN): DE-MF-000008199

### 3.1.1.3 Basic UDI-DI

Product	Basic UDI-DI
PALACOS <sup>®</sup> R+G pro	4260102130201010001B9

### 3.1.1.4 Medical device nomenclature (according to MDR, article 26)

The EMDN code, based on CND for the PALACOS<sup>®</sup> R+G pro is P099001 (orthopedic cements).

### 3.1.1.5 Class of device (according to MDR, Annex VIII)

PALACOS<sup>®</sup> R+G pro is classified as a Class III medical device as per Annex VIII of the Medical Device Regulation 2017/745 and intended for long term use for more than 30 days.

The device incorporates gentamicin as an integral part. If used separately, it would be considered as a medicinal product as defined in point 2 of Article 1 of Directive 2001/83/EC and it has an action ancillary to that of the bone cement (Article 1(8) of MDR).

Product	Year of first CE-mark under MDR
PALACOS <sup>®</sup> R+G pro	pending



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### 3.1.1.7 Authorized representative if applicable; name and the SRN

Not applicable

# 3.1.1.8 Notified Body's (NB) name (the NB that will validate the SSCP) and the NB's single identification number (according to MDR, article 43 (I))

Notified Body name: TÜV SÜD Product Service GmbH Notified Body single identification number: 0123

### 3.1.2 Intended use of the device

### 3.1.2.1 Intended Purpose

PALACOS<sup>®</sup> R+G pro is a PMMA bone cement intended for stable anchoring of total or partial joint endoprostheses in living bone as well as for reconstruction of bone.

### 3.1.2.2 Indications

PALACOS® R+G pro is indicated for surgical treatment such as

- anchoring of endoprosthesis in primary and revision arthroplasty procedures of
  - o hip
  - o knee
  - o ankle
  - o shoulder
  - o **elbow**
  - reconstruction of bone via induced membrane technique after tumor surgery and / or trauma

### 3.1.2.3 Target Population

Adult population, predominantly elderly patients with osteoarthritis and patients with trauma.

### 3.1.2.4 Contraindications

PALACOS<sup>®</sup> R+G pro must not be used in the following cases:

- suspected or proven hypersensitivity to components of the bone cement including gentamicin or other aminoglycoside antibiotics
- patients with renal impairment
- for permanent fixation purposes in the presence of an active or incompletely treated infection at the bone site caused by gentamicin non-sensitive strains
- reconstruction of skull bone defects
- spinal surgery
- children

### 3.1.2.5 Lifetime of the device

There is no general factor influencing the lifetime of PALACOS<sup>®</sup> +G pro bone cements. The general provisions for the endoprostheses they are used to anchor also apply to bone cements. The actual lifetime of these bone cements can be influenced by factors such as the medical situation and lifestyle of the treated patients.

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### 3.1.3 Device description

### 3.1.3.1 Description of the device

PALACOS<sup>®</sup> R+G pro is a standard-setting, high-viscosity, radiopaque, poly(methyl methacrylate)-based (PMMA) bone cement, pre-filled into a mixing and application system, suitable for use with or without vacuum (ready to mix).

It contains the aminoglycoside antibiotic gentamicin to protect the cured bone cement and surrounding tissue from colonization by bacteria that are sensitive to gentamicin. It contains the X-ray contrast medium zirconium dioxide. To improve visibility in the surgical field, it has been colored with chlorophyll-copper-complex (E141).

The bone cements consist of two components and is prepared immediately before use by mixing the polymer powder (= powder) with the monomer liquid (= liquid). A ductile dough forms that sets within a few minutes.

PALACOS® R+G pro bone cement is intended for single-use and is supplied sterile.

Powder		Liquid		
Constituent	Primary Function	Constituent	Primary Function	
PMMA copolymer	Polymer	Methyl methacrylate	Monomer	
Zirconium dioxide	Radio-opacifier	N,N-dimethyl-p-toluidine	Accelerator	
Benzoyl peroxide	Initiator	Hydroquinone	Stabilizer	
Gentamicin sulfate	Antibiotic			
Chlorophyll-copper-	Colorant; visibility in the	Chlorophyll-copper-	Colorant; visibility in the	
complex (E141)	surgical field	complex (E141)	surgical field	

### Composition of PALACOS® R+G pro

PALACOS<sup>®</sup> R+G pro contains:

	PALACOS® R+G pro
Powder	
PMMA copolymer	82 %
zirconium dioxide	15 %
benzoyl peroxide	1 %
gentamicin sulfate	2 %
Liquid	
methyl methacrylate	98 %
N, N-dimethyl-p-toluidine	2 %

e data is rounded

Other constituents:

- Powder: chlorophyll-copper-complex (E141)

- Liquid: chlorophyll-copper-complex (E141), hydroquinone

Traces of histamine may be present in these bone cement. PALACOS<sup>®</sup> R+G pro bone cement does not contain a radiation source. No manufacturing residuals that could pose a risk to the patient have been found. Be aware that the composition table shows the constituents before mixture of the bone cement components. The methyl methacrylate will be consumed during setting of the bone cement.

PALACOS® R+G pro is available in the following pack sizes:

PALACOS <sup>®</sup> R+G pro
40, 60, 80

It is safe to have magnetic resonance tests with PALACOS<sup>®</sup> pro bone cements. However, your ability to have magnetic resonance tests may be affected by the composition of the prosthesis you receive together with the bone cement.

#### Package design and method of sterilization



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The bone cement is triple packaged: The powder is located inside the cartridge and the sterile-filtered liquid in (a) brown glass ampoule(s) within the ampoule casing of the mixing system. The mixing system is packed in the inner blister and the protective outer blister. Both blisters are sterilized using ethylene oxide. The protective outer blister is non-sterile on the outside and sterile on the inside.

### Operating principles and mode of action

Mixing the powder and liquid together produces a paste that is used to anchor the prosthesis to the bone. The hardened bone cement allows stable fixation of the prosthesis and transfers all stresses generated in a movement to the bone via the large interface. The bone cement incorporates an antibiotic, gentamicin, that elutes from the surface of the bone cement, thereby protecting the cured bone cement and surrounding tissue from colonization by bacteria that are sensitive to gentamicin.

The bone cement can be applied as soon as the doughy bone cement no longer adheres to the gloves (doctor finger test). The application time depends on the temperature of the material and the room temperature. To ensure adequate fixation, the prosthesis should be introduced and held in position within the time window allowed for application until the bone cement has set completely. Remove any surplus bone cement while it is still soft.

### 3.1.3.2 Reference to previous generation(s) or variants

PALACOS<sup>®</sup> pro bone cements are under initial registration with the Medical Device Regulation (MDR) 2017/745 (EU). However, the pre-filled bone cement PALACOS<sup>®</sup> R+G has been marketed before. There is no difference to these earlier products marketed under the regulations of the Medical Device Directive. A description of the product history is given in the following section.

The precursor of PALACOS<sup>®</sup> R+G was Refobacin<sup>®</sup>-Palacos<sup>®</sup>, which was marketed in Germany and Austria by E. Merck starting in 1972 in cooperation with Heraeus Kulzer. For other European markets Heraeus Kulzer initiated another cooperation with Schering-Plough which led to the introduction of Palacos<sup>®</sup> R with Gentamicin in 1973. The cooperation with E. Merck and Schering-Plough continued until Heraeus Kulzer established Heraeus Medical in the year 2004/2005 as orthopedic division (later as own legal entity Heraeus Medical GmbH) with the intention to serve in particular the arthroplasty market worldwide through a direct sales channel. In 2005 the product received the CE mark for the first time with Heraeus Kulzer as manufacturer, which was changed to Heraeus Medical GmbH in 2008. The cooperations with Merck (Biomet Merck Biomaterials, later Biomet) and Schering-Plough were terminated. The former Refobacin<sup>®</sup>-Palacos<sup>®</sup> R and Palacos<sup>®</sup> R with Gentamicin are sold since 2006 directly by Heraeus Medical under the brand name PALACOS<sup>®</sup> R+G.

### 3.1.3.3 Accessories intended to be used in combination with the device

For mixing and application with PALACOS® R+G pro the following Heraeus Medical GmbH products are suitable:

Article	Description	Quantity	Reference number
Required			
PALAGUN®	Single-use cement gun	1	5082371
if locally available			
or			
PALAMIX <sup>®</sup> cement gun	Reusable cement gun	1	66036163
Optional	· · · · · ·		-
PALAMIX <sup>®</sup> vacuum pump	Reusable vacuum pump with one-way valve	1	66036748
pro nozzle medium	Single-use, flexible, conical nozzle	10	66054436

The IFUs of the supporting equipment must be followed.

Heraeus Medical GmbH has not tested the compatibility of PALACOS<sup>®</sup> R+G pro with devices of other manufacturers and does not assume any liability for this. The use of mixing equipment of other manufacturers is done in the sole discretion and responsibility of the user.



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### 3.1.3.4 Any other devices and products intended to be used in combination with the device

PALACOS<sup>®</sup> R+G pro is used to initially mix the cement powder with the monomer liquid and then to dispense the prepared bone cement. Mixing of the cement powder and the monomer liquid are conducted at partial vacuum in the cartridge by rotating up and down movements of the mixing rod. Alternatively, mixing can also be performed at standard atmospheric pressure. Subsequently, the cartridge is used as cement dispenser to facilitate the concentrated extrusion of the bone cement to the specific operation site using either PALAMIX<sup>®</sup> cement gun or, if locally available, PALAGUN<sup>®</sup>.

PALACOS<sup>®</sup> +G pro bone cements can be used in combination with all cementable joint endoprostheses suitable for the anatomic locations listed in the indications.

### 3.1.4 Risks and warnings

### 3.1.4.1 Side effects and residual risks

### Side effects

The assessment of side effects is based on the following frequencies:

Frequent: > 1:1 000 Probable: 1:10 000 to 1:1 000 Occasional: 1:100 000 to 1:10 000 Remote: 1:1 000 000 to 1:100 000 Improbable: < 1:1 000 000

Frequency	Side Effect		
Immune system			
Improbable	<ul> <li>hypersensitivity / allergic reaction and local reaction which may include inflammation, induration, erythema, pruritus or pain</li> <li>anaphylactic shock</li> </ul>		
Kidney and Urinary Tract			
Improbable *	renal impairment		
Musculoskeletal System			
Improbable +	<ul><li>ossification</li><li>osteolysis due to bone cement fragments</li></ul>		
Skin and Subcutaneous Tissue			
Improbable	• rash		
	urticaria		

+ not reported to Heraeus Medical GmbH; only identified from literature / state-of-the-art

#### **Residual risks**

Residual risks listed below are procedure related risks which are beyond the control of the manufacturer because they are procedure or user related.

The assessment of residual risks is based on the following frequencies:

Frequent: > 1:1 000 Probable: 1:10 000 to 1:1 000 Occasional: 1:100 000 to 1:10 000 Remote: 1:1 000 000 to 1:100 000 Improbable: < 1:1 000 000



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Bone Cement Implantation Syndrome (BCIS):	m, Blood and Lymphatic System, Nervous System		
Bone Cement Implantation Syndrome (BCIS): Insertion of bone cement may produce a high medullary pressure that forces bone marrow constituents into the venous vascular system, resulting in fat and marrow emboli. The descriptions of adverse clinical events attributed to BCIS vary widely in the published literature. Therefore, it is not possible to draw any meaningful conclusions concerning the true incidence of complications, such as hypotension and oxygen desaturation. The true incidence of cardiac arrest secondary to BCIS is unknown, and mortality data has not been systematically collected or published yet. Therefore, the frequencies listed below either rely on data from literature and state-of-the-art, or, where available, via reports received. In general, adverse reactions of BCIS might include low blood pressure/hypotension, hypoxia, bradycardia, tachycardia, pulmonary hypertension, thrombosis, embolism, pulmonary embolism, myocardial infarction, cerebrovascular accident, respiratory arrest, and cardiac arrest. To avoid BCIS, it is recommended that the implantation site is cleaned thoroughly with pulsatile, high pressure, high-volume lavage using an isotonic solution and dried before the bone cement is introduced. The cement should be applied retrogradely under sustained low pressure into the medullary canal. Subsequently, the prosthesis should be introduced slowly into the cemented medullary canal. In the event of pulmonary or cardiovascular side effects, it is necessary to monitor blood volume and possibly increase it. In the case of acute respiratory failure, anesthesiologic measures should be taken. <i>Frequent</i> *: - BCIS grade 1 moderate hypoxia (SpO2 < 94%) or hypotension [fall in systolic blood pressure > 20%] <i>Remote:</i> - BCIS grade 2 severe hypoxia (SpO2 < 88%) or hypotension [fall in systolic blood pressure > 40%] or unexpected loss of consciousness. Remote:			
– BCIS grade 3 cardiovascular collapse, requi Nervous System			
Improbable*			
- numbness Blood and Lymphatic System			
Improbable*			
– hypovolemia Musculoskeletal System			
Frequent* - aseptic loosening Improbable - unequal limb length - loss of range of motion - ambulation difficulties Infection			
Frequent*	osteomyolitie		
- bacterial infection including cellulitis, and/or Generalized Disorders	USIEUTIYEIIIIS		
Improbable – inflammation – swelling/ edema – fibrosis Improbable*			



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\* As determined by registry data (National Joint Registry of England, Wales, Northern Ireland, the Isle of Man and Guernsey). + not reported to Heraeus Medical GmbH; only identified from literature / state-of-the-art

### 3.1.4.2 Warnings and precautions

#### Warnings

#### Regarding intended users

Caution should be exercised during the mixing of the two components of PALACOS<sup>®</sup> R+G pro bone cements to prevent excessive exposure to the concentrated monomer vapors, which may produce irritation of the respiratory tract, eyes, and possibly the liver. Personnel wearing contact lenses should not be near or involved in mixing this bone cement. Manufacturers of soft contact lenses recommend removing the lenses in the presence of damaging or irritant vapors. Since soft contact lenses are permeable to liquids and gases, they should not be worn in the operating room if methyl methacrylate is being used. However, PALACOS<sup>®</sup> R+G pro bone cements minimize the amount of free monomer in the operating room.

The monomer is a powerful lipid solvent and should not come into direct contact with the body. When handling PALACOS<sup>®</sup> R+G pro bone cements it is essential to wear gloves that provide the necessary protection against penetration of the monomer into the skin. Three-layered PVP gloves (polyethylene, ethylene vinyl alcohol copolymer, and polyethylene) and Viton<sup>®</sup> / butyl gloves have proved to provide good protection over an extended period. It is recommended that two pairs of gloves be worn over one another, e.g., a polyethylene surgical glove over an inner pair of standard latex surgical gloves. Do not allow the monomer to contact latex or polystyrene–butadiene gloves. Request confirmation from your glove supplier that the respective gloves are suitable for use with this bone cement.

Polymerization of the bone cement is an exothermic reaction, which occurs while the bone cement is hardening *in situ*. The released heat may damage bone or other tissues surrounding the implant.

Avoid over-pressurizing the bone cement because this may lead to extrusion of the bone cement beyond the site of its intended application and damage to the surrounding tissue.

Inadequate fixation or unanticipated postoperative events may affect the cement-bone interface and lead to micro motion of bone cement against bone surface. A fibrous tissue layer may develop between the bone cement and the bone and loosening of the prosthesis may occur leading to implant failure. Long-term follow-up is advised for all patients on a regularly scheduled basis.

**Note:** PALACOS<sup>®</sup> R+G pro bone cements are single-use devices and must never be re-used! Re-use may result in diminished safety, performance, and compliance with relevant specifications.

#### Regarding the intended patient population

PALACOS<sup>®</sup> R+G pro bone cements are considered most unlikely to cause gentamicin overdosage, because high local gentamicin concentrations only led to low ( $\leq 1 \mu g/ml$ ) and short-lived systemic concentrations (1).

Monitor patients carefully for any change in blood pressure during and immediately after the application of bone cement. Adverse patient reactions involving the cardiovascular system are in particular linked to the pressurization of bone cement and the subsequent implantation of the cemented stem. Hypotensive reactions have occurred shortly after application of bone cement. However, consequences such as cardiac arrest are only reported in very few cases.

#### Precautions

Regarding intended users



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Do not use PALACOS<sup>®</sup> R+G pro bone cements after the expiration date printed on the product label that is applied to the outer Tyvek<sup>®</sup>. This device may not be safe or effective beyond its expiration date. Follow the handling and mixing instructions to avoid contact dermatitis. Strict adherence to the instructions for mixing the powder and liquid components may reduce the incidence of this complication.

Adequately ventilate the operating room to eliminate as much monomer vapor as possible.

The liquid is highly volatile and flammable. Ignition of monomer fumes caused by use of electrocautery devices in surgical sites near freshly implanted bone cements has been reported.

Do not use the bone cement after the application phase. This may require removal of the already applied bone cement from the bone. It can lead to unequal leg length when correct positioning of the prosthetic implant is hindered, or it can lead to early loosening of the implant.

Do not use the bone cement if its consistency is inhomogeneous as this can lead to early loosening of the implant.

#### Regarding the intended patient population

Like all aminoglycosides, gentamicin is potentially nephrotoxic. Independent of the total amount applied, care should be taken in patients with risk factors for the development of renal failure as well as in patients simultaneously treated with other nephrotoxic drugs, e.g. by periodically monitoring systemic levels of the antibiotic, serum electrolytes and renal function.

Blood pressure, pulse, and breathing must be monitored carefully during and immediately after introduction of the bone cement. Any significant change in these vital signs must be resolved without delay by taking appropriate action. When using PALACOS<sup>®</sup> R+G pro bone cements the prepared bone should be carefully cleaned, aspirated, and dried just before the bone cement is placed.

### Pregnancy and lactation

No sufficient data is available regarding the use of gentamicin in pregnant and lactating women in order to assess a possible health risk. Gentamicin is known to cross the placenta. In animals, gentamicin produced structural malformations in spite of maternal toxicity at high doses. Limited human experience does not point to an increased risk of structural malformations. Ototoxicity and nephrotoxicity in the fetus are potential hazards, but this has not been confirmed clinically. Cases of irreversible, bilateral, congenital hearing loss have been reported in children after prenatal exposure to streptomycin. Gentamicin is excreted in small amounts in human breast milk and absorbed by the nursing child. Because of enhanced intestinal permeability in neonates, accumulation and toxicity cannot be excluded. In view of this data, the benefits for the mother should be weighed against the potential risk to the child before using PALACOS<sup>®</sup> R+G pro bone cements during pregnancy and a decision must be made whether to discontinue breast-feeding or to abstain from PALACOS<sup>®</sup> R+G pro implantation.

### 3.1.4.3 Other relevant aspects of safety

The information given below summarizes the information retrieved from 8 national adverse event and recall databases of the past 5 years for the bone cement that are pre-filled into the mixing and application system, PALACOS<sup>®</sup> R+G. The bone cement PALACOS<sup>®</sup> R+G is equivalent to the bone cement pre-filled in PALACOS<sup>®</sup> R+G pro.

All reported problems of PALACOS<sup>®</sup> R+G are well-known from previous investigations. Furthermore, no new relevant adverse events and reasons for recalls have been filed, which were not yet known from the clinical use of gentamicin-containing bone cements.

To date, none of the complaints point to a new risk not yet known from long-lasting human experiences with PALACOS<sup>®</sup> R+G or affect the safety profile of the bone cement.

The US-database MAUDE included a total of 120 entries on PALACOS<sup>®</sup> R+G bone cement. Most entries described the event type "Injury" (N = 95), less frequently the event type "Malfunction" (N = 25), and 2 entries concerned the event type "Death". However, there is strong evidence that both entries under the event type "Death" actually described the same event.



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Most entries marked as "Injury" described migration or loosening of implant or its components. In many cases, a revision was performed. The majority of cases reported on knee procedures, but also hip and elbow procedures were described. Patients frequently complained about pain. To a smaller extent, adverse events or additional complications such as discomfort, stiffness, decreased range of motion, instability, swelling, inflammation, osteolysis, metallosis, noise from the implant, and suspected allergy to implant or bone cement were observed. In several cases, aseptic loosening was reported; however, infection was also noticed. In a single case, symptoms of kidney failure or elevated serum creatinine levels, elevated vancomycin levels, and detectable tobramycin levels (without systemic administration of antibiotics) after implantation of PALACOS<sup>®</sup> R+G loaded with additional vancomycin and tobramycin powder were described. However, it needs to be emphasized that antibiotics must not be admixed to PALACOS<sup>®</sup> R+G. (Please note: the pre-filled mixing and application systems of PALACOS<sup>®</sup> pro bone cements make admixing almost impossible.)

Most entries of the event type "Malfunction" described bone cement related issues, such as inappropriate polymerization, inappropriate consistency after mixing or altered setting times. Packaging problems included broken seals, non-sterility of device, tear in packaging, contamination of device, or insufficient package opening.

There is strong evidence that both entries of the event type "Death" described the same event based on event date and event description. In 2016, it was reported that unknown complications from a revision surgery led to a patient's death. The entry was filed for PALACOS<sup>®</sup> R+G bone cement. No further information was provided. Review of device history records for all components and subcomponents did not identify any relevant deviations, anomalies, any quality deviations, or incompatibility of implanted components.

No recalls were filed for PALACOS® R+G in the FDA and Health Canada Recall databases.

In the Australian TGA Adverse Events database one entry described the event type "Usability". Upon opening the PALACOS<sup>®</sup> R+G powder, the powder in the package was gritty with small crystal-like particles present. The reported event outcome was "no injury". The event was documented in 2016.

Analysis of the FDA Total Product Life Cycle (TPLC) database revealed that adverse events reported for PALACOS<sup>®</sup> R+G are generally consistent with reports on plain bone cement or antibiotic-loaded bone cement.

### 3.1.5 Summary of clinical evaluation and relevant information on post-market follow-up (PMCF)

### 3.1.5.1 Related to equivalent device, if applicable

PALACOS<sup>®</sup> R+G pro is equivalent to PALACOS<sup>®</sup> R+G (Basic UDI-DI: 4260102130101010001AJ). Therefore, all clinical data described below for PALACOS<sup>®</sup> R+G also applies to PALACOS<sup>®</sup> R+G pro. Long-term results are mainly available for PALACOS<sup>®</sup> R+G (pro). As PALACOS<sup>®</sup> MV+G is equivalent to PALACOS<sup>®</sup> R+G (pro).

PALACOS<sup>®</sup> R+G, for some indications and for clinical data at shorter follow-up times, data for PALACOS<sup>®</sup> MV+G will be considered.

### 3.1.5.2 From conducted investigations of the device before CE-marking, if applicable

Not applicable

### 3.1.5.3 From other sources, if applicable

The Clinical Evaluation Report (CER) of PALACOS<sup>®</sup> R+G has identified a total of 56 publications which were considered as relevant for the evaluation of safety and performance of the device under evaluation. Eleven out of these publications were related to registry data which are described in detail in section 3.1.5.4 and were therefore not considered in this section. The remaining 45 publications evaluated the indications of anchoring of endoprosthesis in hip, knee, ankle, shoulder, elbow, as well as reconstruction of bone.

The publications regarding hip replacement procedures covered the aspects of arthroplasties (2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 51) as well as infection reduction, revision, resistance development and related economic aspects (15, 16, 17, 18, 19, 20, 21, 22, 50). All publications presented favorable results in terms of safety and



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performance regarding PALACOS<sup>®</sup> R+G for the mentioned aspects, only Tyas *et al.* (11) described better results for COPAL<sup>®</sup> G+C in terms of infection rates after hemiarthroplasty when compared to PALACOS<sup>®</sup> R+G.

The publications regarding knee replacement procedures covered the aspects of arthroplasties (23, 24, 25, 26, 53). In addition, infection reduction, revision and related economic aspects were further topics (15, 27, 28, 29, 30, 31, 32, 33). All publications presented favorable results in terms of safety and performance regarding PALACOS<sup>®</sup> R+G.

For the evaluation of clinical data regarding the topic ankle replacement registry data were chosen, which are evaluated in detail in section 3.1.5.4. The publication regarding replacement procedures for the shoulder (34, 52) showed favorable results for PALACOS<sup>®</sup> R+G in a limited number of patients. Elbow replacement procedures in patients suffering from a hemophilic arthropathy resulted in a substantial complication and revision rate; however, even after revision without implant removal, total elbow arthroplasty with PALACOS<sup>®</sup> R+G provided good functional and subjective long-term results (35).

The publications regarding reconstruction of bone procedures covered patients either after trauma or tumor surgery showing positive outcomes in terms of safety and performance when using the induced-membrane technique leading to successful bone union (36, 37, 38, 39, 40, 41, 42, 50).

Since PALACOS® MV+G is equivalent to PALACOS® R+G the respective data is included here as well.

A total of two publications could be identified describing the application of PALACOS<sup>®</sup> MV+G for the anatomic location hip. In one publication comparable revision rates were found when comparing PALACOS<sup>®</sup> MV+G with other bone cements after primary total hip replacement (2), while the other publication assessed the factors affecting the infection rates after fractured neck of femur (43).

Two publications on total knee replacements treated with PALACOS<sup>®</sup> MV+G showed favorable results in terms of safety and performance (44, 45).

In summary, the clinical data from scientific publications for PALACOS<sup>®</sup> R+G showed convincing results for the confirmation of safety and performance, which can be transferred to PALACOS<sup>®</sup> R+G pro and PALACOS<sup>®</sup> MV+G pro as equivalent devices. Although limited in number, the publications regarding PALACOS<sup>®</sup> MV+G provide additional support to these conclusions.

### 3.1.5.4 An overall summary of the clinical performance and safety

PMMA bone cements and gentamicin are very well-studied and no additional product-specific safety concerns exist for PALACOS<sup>®</sup> +G pro bone cements. Nonetheless, Post-Market Clinical Follow-Up (PMCF) activities are performed within the scope of Post-Market Surveillance (PMS).

As the devices under evaluation are not expected to carry significant risks when used as intended and bone cements are well-established, the clinical evaluation will be updated when new data concerning the products arise or on an annual basis, respectively.

#### Clinical benefits

The expected clinical benefits, risks and the acceptability of the benefit-risk profile were assessed in relation to the state-of-the-art and according to the following indicative list of benchmark parameters for PALACOS<sup>®</sup> R+G pro:

Performance/ Safety aspect	Benefit	Outcome Parameter	Threshold / Target values (as per state-of-the-art)
Stable fixation	Low risk of revision or re- revision surgery	Cumulative revision rate (data from registries and literature) comparable to or better than state-of-the-art	Cumulative (re-)revision rates: Hip primary: $3.0 - 4.8\%$ after 10y Hip primary: $5.5 - 7.8\%$ after 15y Knee primary: $3.4 - 5.8\%$ after 10y Knee primary: $4.8 - 7.3\%$ after 15y Ankle primary: $6.4\%$ after 5y Shoulder primary: $4.1\%$ after 4y



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Performance/	Benefit	Outcome Parameter	Threshold / Target values
Safety aspect			_
Safety aspect	Low risk of revision or re- revision surgery	Rate of aseptic loosening (data from registries and literature) comparable to or better than state-of-the-art	(as per state-of-the-art) Shoulder primary: $4.7 - 5.9\%$ after 5y Shoulder primary: $6.0\%$ after 7y Elbow primary: $5.5\%$ after 4y Elbow primary: $6.4 - 9.4\%$ after 5y Hip revision: $16.8 - 19.8\%$ after 10y Hip revision: $22.6 - 25\%$ after 15y Knee revision: $12.4 - 15.5\%$ after 5y Knee revision: $19.4 - 20.9\%$ after 10y Knee revision: $21.7 - 25.6\%$ after 15y Ankle revision: $10.3 - 13\%$ after 4y Shoulder revision: $17 - 22\%$ after 5y Elbow revision: $16.9 - 36\%$ after 5y <b>Aseptic loosening rates:</b> Hip primary: $1.4\%$ after 10y Hip primary: $1.3\%$ after 10y Knee primary: $1.3\%$ after 10y Knee primary: $1.8\%$ after 15y
			Ankle primary: 1.8% after 15y Ankle primary: 3.0% after 5y Shoulder primary: 0.5% after 4y Shoulder primary: 0.6% after 5y Shoulder primary: 0.7% after 7y Elbow primary: 1.7% Hip revision: 5.1% after 5y Hip revision: 7.2% after 10y Hip revision: 9.7% after 15y Knee revision: 4.2% after 5y Knee revision: 6.6% after 10y Knee revision: 7.4% after 15y Ankle revision: 4.9% after 4y Shoulder revision: 1.4 – 2.9% after 1y Shoulder revision: 2.6 – 4.8% after 5y Shoulder revision: 2.9 – 5.1% after 7y Elbow: 2.2% after 1y Elbow revision: 3.7 – 7.9% after 5y
Indirect: improvement of impaired body function	High patient satisfaction	Oxford hip/knee at 6 months score (data from NJR reports)	Oxford hip/knee score at 6 months: Hip primary: 39 Knee primary: 35
Indirect: Relief of symptoms	High patient success		Hip revision: 35 Knee revision: 29
Reconstruction of bone	Preservation of function and/or limb	Union of bone defects following tumor surgery and/or trauma	Bone union after two-stage reconstruction using induced membrane technique: 79 - 100%
Application of ALBC	Low risk of infection	Revisions or re-revisions caused by infections relative to the overall number of procedures, taking into account ASA-grading and	Infection rates: Hip primary: 0.1 – 0.9% after 10y Hip primary: 0.3 – 1.5% after 15y Knee primary: 0.2 – 1.2% after 10y



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Performance/ Safety aspect	Benefit	Outcome Parameter	Threshold / Target values (as per state-of-the-art)
		indications (data from registries and literature)	Knee primary: 0.3 – 1.7% after 15y Ankle primary: 1.6% after 5y
			Shoulder primary: 0.5% after 4y
			Shoulder primary: 0.6% after 5y
			Shoulder primary: 0.8% after 7y
			Elbow primary: 1.4% after 5y
			Hip revision: 2.1% after 5y
			Hip revision: 2.4% after 10y
			Hip revision: 3.2% after 15y
			Knee revision: 2.9% after 5y
			Knee revision: 4.6% after 10y
			Knee revision: 5.0% after 15y
			Ankle revision: 0.5% after 3y
			Ankle revision: 0.7% after 4y
			Shoulder revision: 1.0 - 1.4% after 1y
			Shoulder revision: 1.9 - 2.4% after 5y
			Shoulder revision: 2.1 - 2.5% after 7y
			Elbow revision: 2.1% after 1y
			Elbow revision: 3.6 – 7.7% after 5y
Local use of antibiotic at the surgery site	Reduction of risk for systemic toxicity	Low frequency of hypersensitivity reactions to gentamicin (vigilance data, adverse event and recall database data, biologic risk assessment regarding	Gentamicin serum concentration to not exceed persistent levels which lead to otc or nephrotoxicity: c(gentamicin): < 10 µg/ml

The clinical benefits and clinical outcome parameters describe relevant aspects which are important for evaluation of the benefit/risk ratio. The manufacturer has performed the analysis of clinical data e.g., from endoprosthesis registries, scientific publications, complaints, and clinical data from adverse event and recall databases.

With regards to the benefit of a low risk of revision, the analysis revealed that the cumulative revision rates for primary hip and knee arthroplasty performed with PALACOS<sup>®</sup> R+G were 3.0% and 3.9% at 10 years, respectively, which is comparable to benchmark standards (range for hip: 3.0% to 4.8%; range for knee: 3.4 - 5.8% at 10 years). Cumulative revision rates for primary shoulder, elbow and ankle procedures were all comparable or better than benchmark standards at 5 years (3.2%, 5.6%, and 5.9%, respectively, with the following benchmark standards: shoulder: 4.7 - 5.9%; elbow: 6.4 - 9.4%; ankle: 6.4% at 5 years). Cumulative revision rates for primary shoulder and elbow procedures were comparable to benchmark standards at 4 years for PALACOS<sup>®</sup> MV+G.

For revision arthroplasty, the cumulative re-revision rates for PALACOS<sup>®</sup> R+G were better in hip (12.3%) and knee joints (12.2%) compared to reported benchmark standards (hip: 16-8 - 19.8%; knee: 19.4 - 20.9%; all values at 10 years). Similar results were reported for shoulder, elbow and ankle joints in revision arthroplasty. Similar results were reported for Shoulder, elbow and ankle joints in revision arthroplasty. Similar results were reported for Shoulder, elbow and ankle joints in revision arthroplasty. Similar results were reported for Shoulder, elbow and ankle joints in revision arthroplasty. Similar results were reported for Shoulder, elbow and ankle joints in revision arthroplasty.

Benchmark standards for the rate of aseptic loosening of primary arthroplasty procedures are listed in the table above and are in the range of 0.5 - 3.0%. PALACOS<sup>®</sup> R+G performed better than expected, with a rate of 0.1 - 0.3% for primary hip, 0.2 - 0.7% for primary knee, 0.1% for primary shoulder and 1.7% for primary elbow arthroplasty. The rate of primary ankle arthroplasty was comparable to benchmark (PALACOS<sup>®</sup> R+G: 2.1 - 3.7%; benchmark: 1.8 - 3.0%). For revision arthroplasties, the reported rates of the benchmark standard for aseptic loosening were 4 - 10% for hip and knee, and 3 - 9% for ankle, shoulder and elbow. Revision arthroplasties performed with PALACOS<sup>®</sup> R+G had considerably better rates for hip and knee, with 1.2 - 2.9% in hip and 1.6 - 3.2% in knee. A revision rate of 1.2% was reported for aseptic loosening in shoulder joints, and 3.3% in elbow. Revision ankle procedures performed worse than benchmark standard (10 - 17%). It should be noted that the rate of aseptic loosening was adjusted for age group, gender, indications, and implantation year, PALACOS<sup>®</sup> R+G performed as expected compared to the benchmark standard for revision procedures, without a significant difference between PALACOS<sup>®</sup> R+G and non-Heraeus ALBC (p = 0.6). Additionally, the number of cemented ankle



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replacements in the NJR is low, since all ankle replacement brands recorded in the NJR are uncemented implants, however in low demand patients or specific other circumstances such as poor bone stock surgeons occasionally use bone cement in ankle replacements.

The analysis outcomes of the benefits of improvement of impaired body function as well as the relief of symptoms showed comparable results between PALACOS<sup>®</sup> R+G are other bone cements (statistically insignificant differences, all values rounded): the functional Oxford Hip Score at 6 months in primary arthroplasty was 39 for the benchmark standard and for PALACOS<sup>®</sup> R+G. In revision arthroplasty the differences in values are 35 for the benchmark standard and 34 for PALACOS<sup>®</sup> R+G. Similarly, the Oxford Knee Scores at 6 months are comparable: 35 for both the benchmark standard and PALACOS<sup>®</sup> R+G in primary arthroplasty, and 29 for both groups in revision arthroplasty.

Infection rates obtained for PALACOS<sup>®</sup> R+G in primary hip (0.4%), knee (0.5%), shoulder (0.3%), elbow (1.3%) and ankle (2.1%) arthroplasty were comparable to the reported benchmark rates (hip: 0.1 - 0.9% after 10y; knee: 0.2 - 1.2% after 10y; shoulder: 0.6% after 5y; elbow: 1.4% after 5y; ankle: 1.6% after 5y). Infection rates obtained for PALACOS<sup>®</sup> R+G in revision hip (2.1%), knee (2.8%), shoulder (2.0%), elbow (2.9%) and ankle (0%) arthroplasty were comparable to or better than the reported benchmark rates (hip: 2.4% after 10y; knee: 4.6 % after 10y; shoulder: 1.9 - 2.4% after 5y; elbow: 3.6 - 7.7% after 5y; ankle: 0.7% after 4y). Similar results were reported for shorter follow-up times for PALACOS<sup>®</sup> MV+G.

With regards to the risk of systemic toxicity, *in vivo* and *in vitro* (46, 47, 48) data support the claim of high local antibiotic concentration at the surgery site, while serum levels of 3.8  $\mu$ g/ml for gentamicin remain well below toxic levels of 10  $\mu$ g/ml (49, 50). In line with these results, no reports on adverse antibiotics levels (cases without additional systemic treatment of the same antibiotic) have been obtained from vigilance data or adverse event and recall databases.

In reconstruction of bone, the benchmark for successful union of bone when using the induced membrane technique was determined to be in a range between 79 - 100%. When PALACOS<sup>®</sup> R+G was used as bone cement spacer during the first surgical stage of a procedure for reconstruction of bone defects by means of the induced membrane technique bone fusion rates were in a range between 80.1 - 100%.

In summary, this evaluation of PALACOS<sup>®</sup> R+G pro confirmed the fulfillment of the expected clinical benefits i.e., showing the success in relation to the specified clinical outcome parameters.

For PALACOS® R+G pro it can be concluded that the benefits considerably outweigh the risks for the indications

- anchoring of endoprosthesis in primary and revision arthroplasty procedures of
  - o hip
  - o knee
  - o ankle
  - o shoulder
  - o elbow
- reconstruction of bone via induced membrane technique after tumor surgery and / or trauma

### 3.1.5.5 Ongoing or planned post-market clinical follow-up

Some data gaps exist for small joints which will be addressed by the collection of further data from registries. The strategy and methodology to systematically collect and assess qualitative and quantitative aspects of clinical safety with clear reference to the determination of residual risks and side-effects of the device under evaluation will be described in the latest version of the Post-Market Surveillance Plan for PALACOS<sup>®</sup> +G pro bone cements.

The following PMCF measures are planned for PALACOS<sup>®</sup> +G pro bone cements:

#### Device Registry Analysis

The analysis of device registry data will primarily consider NJR as the largest register in the world covering more than 3 million records and long follow-up periods. The registry presents data on joint replacement up to 15 years of follow-up, with data on hips, knees, shoulders, elbows, and ankle replacements. A representative patient population, a sufficient sample size, and an adequate follow-up are provided by this register. Clinical data for the



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PALACOS<sup>®</sup> +G bone cements are available on a large scale and will be analyzed during the annual update of the CER.

### Screening of Scientific Literature

The screening of scientific literature provides up-to-date information about the devices under evaluation and is an important source of new clinical data to update the clinical evaluation. It covers both favorable and unfavorable data with different levels of data quality, including data on possible misuse or off-label use.

### Adverse Event and Recall Databases

Adverse events and recalls reported in databases are an important source of information about the safety of the devices under evaluation. They represent relevant information in terms of quantitative and qualitative data. The databases of FDA (USA), BfArM (GER), TGA (AUS), SwissMedic (CH), MHRA (UK), and Public Health Agency of Canada (CAN) will be evaluated periodically as part of the preparation for CER-updates and the results will be described in a Safety and Recall Database Report.

The results of the mentioned PMCF measures will be summarized in the corresponding PMCF reports. These activities will be conducted on an annual basis in connection with the continuous updates of the clinical evaluations.

### 3.1.6 Possible diagnostic or therapeutic alternatives

Primary arthroplasty operations and endoprosthesis revision operation as well as the use of PMMA bone cements are very well-established procedures in joint replacement surgery.

PMMA has been widely used for the fixation of various endoprostheses in orthopedic surgery since decades. At present, PMMA is still the most commonly used filling material in primary arthroplasty operations. Uncemented procedures have also been used in primary arthroplasty operations. Furthermore, hybrid techniques have been developed during the past decades. The review of the literature indicates that there is no evidence to prove the superiority of cementless over cemented total joint arthroplasties. Hence, the use of PMMA bone cement can be considered state-of-the-art in primary arthroplasty operations.

In addition to the well-known characteristics and safety profile, a great advantage of PMMA is the long-term experience with this material and the familiarity of the majority of orthopedic surgeons.

If conservative treatments fail, a reconstructive surgical procedure such as resurfacing or replacement of the diseased joint may be necessary. In primary arthroplasty operations of different etiologies, it is generally agreed that clinicians should attempt the core non-surgical therapies prior to referral for surgery. In patients with suspected or confirmed prosthetic joint infections, however, there is no conservative treatment option and hence, those patients have to undergo one-stage or two-stage revision surgery.

Furthermore, PMMA bone cements play an important role in the induced membrane technique for plastic reconstruction of bone defects.

Internal fixation treatment is a well-established clinical procedure to stabilize fractured bone or bone defects. The ability of fractured or defect bone to support the internal fixation devices is often deteriorated in the aging population and by various medical conditions. Thus, filling and stabilizing the bone structure with (antibiotic) bone cement to improve the pullout strength of implants and to reduce cut outs and failures is a state-of-the-art procedure within the scope of internal fixation treatment.

The use of ALBC for the stable anchoring of joint prostheses in primary arthroplasty operations as well as in revision operations resulting from the aseptic loosening of the prosthesis and periprosthetic infection can also be considered state-of-the-art. Selection of the appropriate antimicrobial substance(s) in the bone cement has to be based on the isolated microorganisms that should be sensitive to the antibiotic(s).

Implantation of ALBC is contraindicated in patients with known hypersensitivity to the antibiotic(s) or other components of the bone cement. In patients with severe renal insufficiency, a bone cement loaded with an aminoglycoside antibiotic should not be applied because of potential nephrotoxicity caused by an aminoglycoside. As there is insufficient data on the use of gentamicin in pregnant and breast-feeding women to evaluate any possible risk the use of ALBC containing gentamicin during pregnancy and lactation is generally not advised unless the benefits for the mother outweigh the potential risk to the child.

Differences in early polymerization behavior especially of the PALACOS<sup>®</sup> +G bone cements do not have any consequences for the clinical outcome.

Furthermore, the usage of vacuum mixing systems is well-established in the clinical setting.

Based on a comprehensive literature search, it can be concluded that the use of PMMA bone cement or ALBC in joint replacement and revision surgery procedures as well as reconstruction of bone indicated in various medical conditions complies with the current state-of-the-art.



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### 3.1.7 Suggested profile and training for user

The surgeon and nurse must be thoroughly familiar with the properties and handling characteristics of PALACOS<sup>®</sup> +G pro bone cements. As the handling of the products varies with temperature, humidity, and mixing technique, a test mix should be performed to ensure familiarity with its characteristics.

### 3.1.8 Reference to any harmonized standards and CS applied

#### List of common specification

Not applicable – There are currently no common specifications for this product.

### List of harmonized standards

<u>Standard</u>	Title	Issue Date	Application
DIN EN ISO 13485	Medical devices - Quality management systems - Requirements for regulatory purposes (ISO 13485:2016) German version EN ISO 13485:2016 + AC:2018 + A11:2021	2021-12	Applied in part Excluded clauses 7.5.3 and 7.5.4
DIN EN ISO 15223-1	Medical devices - Symbols to be used with medical device labels, labelling and information to be supplied - Part 1: General requirements (ISO 15223-1:2021); German version EN ISO 15223- 1:2021	2022-02	Applied in full
DIN EN ISO 14155	Clinical investigation of medical devices for human subjects - Good clinical practice (ISO 14155:2020); German version EN ISO 14155:2020	2021-05	Applied in part Clause 6.3 (Clinical evaluation)
DIN EN ISO 14602	Non-active surgical implants - Implants for osteosynthesis - Particular requirements (ISO 14602:2010); German version EN ISO 14602:2011	2012-06	Applied in full
DIN EN ISO 11607-1	Packaging for terminally sterilized medical devices - Part 1: Requirements for materials, sterile barrier systems and packaging systems (ISO 11607-1:2019); German version EN ISO 11607-1:2020	2020-05	Applied in full
DIN EN ISO 11607-2	Packaging for terminally sterilized medical devices - Part 2: Validation requirements for forming, sealing and assembly processes (ISO 11607-2:2019); German version EN ISO 11607- 2:2020	2020-05	Applied in full



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Standard	Title	Issue Date	Application
DIN EN 556-1	Sterilization of medical devices - Requirements for medical devices to be designated "STERILE" - Part 1: Requirements for terminally sterilized medical devices; German version EN 556-1:2001	2002-03	Applied in full
DIN EN 556-1 Cor. 1	Sterilization of medical devices - Requirements for medical devices to be designated "STERILE" - Part 1: Requirements for terminally sterilized medical devices ; German version EN 556- 1:2001, Corrigenda to DIN EN 556-1:2002-03; German version EN 556-1:2001/AC:2006	2006-12	Applied in full
DIN EN 556-2	Sterilization of medical devices - Requirements for medical devices to be designated "STERILE" - Part 2: Requirements for aseptically processed medical devices	2015-11	Applied in full
DIN EN ISO 14937	Sterilization of health care products - General requirements for characterization of a sterilizing agent and the development, validation and routine control of a sterilization process for medical devices (ISO 14937:2009); German version EN ISO 14937:2009	2010-03	Applied in full
DIN EN ISO 11135	Sterilization of health-care products - Ethylene oxide - Requirements for the development, validation and routine control of a sterilization process for medical devices (ISO 11135:2014 + Amd.1:2018); German version EN ISO 11135:2014 + A1:2019	2020-04	Applied in full
DIN EN ISO 11737-1	Sterilization of health care products - Microbiological methods - Part 1: Determination of a population of microorganisms on products	2021-10	Applied in full
DIN EN ISO 11737-2	Sterilization of medical devices - Microbiological methods - Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process	2020-07	Applied in full
DIN EN ISO 13408-1	Aseptic processing of health care products - Part 1: General requirements (ISO 13408-1:2008, including Amd 1:2013); German version EN ISO 13408-1:2015	2015-12	Applied in full
DIN EN ISO 13408-2	Aseptic processing of health care products - Part 2: Sterilizing filtration (ISO 13408-2:2018); German version EN ISO 13408-2:2018	2018-06	Applied in full
DIN EN ISO 13408-4	Aseptic processing of health care products - Part 4: Clean-in-place technologies (ISO 13408- 4:2005); German version EN ISO 13408-4:2011	2011-09	Applied in full
DIN EN ISO 17665-1	Sterilization of health care products - Moist heat - Part 1: Requirements for the development, validation and routine control of a sterilization process for medical devices (ISO 17665-1:2006); German version EN ISO 17665-1:2006	2006-11	Applied in full



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<u>Standard</u>	Title	Issue Date	Application
DIN EN ISO 10993-7	Biological evaluation of medical devices - Part 7: Ethylene oxide sterilization residuals (ISO 10993- 7:2008); German version EN ISO 10993-7:2008	2009-02	Applied in full
DIN EN ISO 10993-7 Corrigendum 1	Biological evaluation of medical devices - Part 7: Ethylene oxide sterilization residuals (ISO 10993- 7:2008) Corrigendum to DIN EN ISO 10993- 7:2009-02 (ISO 10993-7:2008); German version EN ISO 10993-7:2008, Corrigendum to DIN EN ISO 10993-7:2009-02, German version EN ISO 10993-7:2008/AC:2009	2011-06	Applied in full

### Relevant adopted monographs of the European Pharmacopoeia

European	Monograph 0331 – Gentamicin sulfate
Pharmacopoeia	Chapter 2.6.14 – Bacterial Endotoxins
	Chapter 2.6.1 – Sterility
	Chapter 2.6.8 – Pyrogens
	Chapter 2.6.12 – Microbiological examination of non-sterile products: microbial enumeration tests

### 3.1.9 Revision history

SSCP Revision number	Date issued	Change description	Revision validated by the Notified Body
01	Apr 2022	First revision of the SSCP	<ul> <li>Yes</li> <li>Validation language: English</li> <li>No (only applicable for class IIa or some IIb implantable devices (MDR, Article 52 (4) 2nd paragraph) for which the SSCP is not yet validated by the NB)</li> </ul>
02	Jul 2022	Sections 3.1.4.1 and 3.2.5 - BCIS moved from "side effects" to "residual risks". - Frequency of "aseptic loosening" and "infection" adapted to "frequent" as determined by registry data (National Joint Registry of England, Wales, Northern Ireland, the Isle of Man and the States of Guernsey). - Subitems of "aseptic loosening" removed. Sections 3.1.2.4 and 3.2.3.3	<ul> <li>☐Yes</li> <li>Validation language: English</li> <li>☐ No (only applicable for class IIa or some IIb implantable devices (MDR, Article 52 (4) 2nd paragraph) for which the SSCP is not yet validated by the NB)</li> </ul>



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SSCP Revision	Date issued	Change description	Revision validated by the Notified Body
number			
		<ul> <li>Order of contraindications adapted</li> <li>Addition of information regarding pregnant and breastfeeding women and children</li> <li>Section 3.1.3.4.</li> <li>Information added on use of the products with cementable joint endoprostheses</li> <li>Section 3.1.4.2.</li> <li>Update of precautions: addition of a section regarding pregnancy and lactation</li> <li>Sections 3.1.5.3. and 3.2.6.</li> <li>Information added regarding the summary of the clinical evaluation and PMCF</li> <li>Sections 3.1.5.4. and 3.2.6</li> <li>Information added regarding clinical benefits and outcome parameter</li> <li>Sections 3.1.5.5. and 3.2.6</li> <li>Information added about planned PMCF measures</li> <li>Section 3.1.8</li> <li>Update of harmonized standards</li> <li>Section 3.1.9</li> <li>Revision history updated.</li> <li>References added</li> </ul>	
03	Sept 2022	Throughout the document -PALACOS® MV+G pro was removed from this document and transferred into individual SSCP (MC 46645) -editorial changes and corrections of typos Sections -Address format adapted (section 3.1.1.2 and 3.2.2.2) -Basic UDI-DI added (section 3.1.1.3 and 3.2.2.3) -indication reconstruction of bone specified with "via induced membrane technique" (section 3.1.2.2, 3.1.5.4, 3.2.3.2, and 3.2.6) -explanatory paragraph on children, women and breastfeeding women removed from contraindication (section 3.1.2.4 and 3.2.3.3) -disclaimer of liability added according to change request 126 (section 3.1.3.3) -introductory information in residual risks updated according to change request 120 and BCIS grading split into severity grades 1-3 (section 3.1.4.1 and 3.2.5) -information on packaging (outer Tyvek) updated (section 3.1.4.2)	□Yes Validation language: English □No (only applicable for class IIa or some IIb implantable devices (MDR, Article 52 (4) 2nd paragraph) for which the SSCP is not yet validated by the NB)



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SSCP Revision number	Date issued	Change description	Revision validated by the Notified Body
		-corrections on the numbers and citations of mentioned publications (section 3.1.5.3) -reconstruction of bone and rate of aseptic loosening added to the clinical benefit, and information added regarding clinical benefits and outcome parameter (sections 3.1.5.4. and 3.2.6) -standards list updated (section 3.1.8) -revision history updated (section 3.1.9)	
04	Jan 2023	-List of abbreviations updated -3.1.3.1 Paragraphs added for 'Package design and method of sterilization' and 'Operating principles and mode of action'	<ul> <li>Yes</li> <li>Validation language: English</li> <li>No (only applicable for class IIa or some IIb implantable devices (MDR, Article 52 (4) 2nd paragraph) for which the SSCP is not yet validated by the NB)</li> </ul>
05	Jan 2024	- 3.1.4.1 layout of residual risks updated - 3.1.4.2 information on pregnancy and lactation extended	<ul> <li>Yes</li> <li>Validation language: English</li> <li>No (only applicable for class IIa or some IIb implantable devices (MDR, Article 52 (4) 2nd paragraph) for which the SSCP is not yet validated by the NB)</li> </ul>



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### 3.2 Relevant Information for patients

The following chapters provide a summary of the safety and clinical performance of the device intended for patients.

This Summary of Safety and Clinical Performance (SSCP) provides public access to an updated summary of the main aspects of the safety and clinical performance of the device. The information presented below addresses patients or lay persons. The first part of the document shows a more extensive summary of safety and clinical performance prepared for healthcare professionals.

The SSCP does not provide general advice on the treatment of a medical condition. Please contact your doctor/surgeon in case you have questions about your medical condition or about the use of the device in your situation. This SSCP does not replace an Implant Card or the Instruction for Use (IFU) to provide information on the safe use of the device.

### 3.2.1 Background information

PALACOS<sup>®</sup> R+G is a bone cement. It is based on a biologically safe material called poly(methyl methacrylate) (PMMA). This material has a long history of safe use in humans. PALACOS<sup>®</sup> R+G was the basis for development of the bone cement PALACOS<sup>®</sup> MV+G as well.

PALACOS<sup>®</sup> R+G pro is a mixing and application system that already contains the bone cement PALACOS<sup>®</sup> R+G. This is also available as PALACOS<sup>®</sup> MV+G pro, prefilled with PALACOS<sup>®</sup> MV+G. Both bone cement mixing and application systems will be addressed as PALACOS<sup>®</sup> +G pro bone cements.

PALACOS<sup>®</sup> +G pro bone cements are used in adults such as elderly patients with degenerative joint disease. Osteoarthritis is an example for such a joint disease. Osteoarthritis is the most common form of arthritis and affects millions of people worldwide. It occurs when the protective cartilage that cushions the ends of the bones wears down over time. Patients with trauma after severe accidents with several fractures in a bone can also be considered for treatment with bone cements. The bone cement is used to anchor total or partial joint endoprostheses. It attaches endoprostheses firmly and stably to the bone. Endoprostheses are medical devices used to replace parts of the inside of your body. Hip, knee or shoulder joints can be replaced by an endoprostheses, for example.

Arthroplasty is a surgical procedure to restore the function of a joint. Primary arthroplasty refers to the first joint replacement. Revision arthroplasty refers to follow-up surgery on the same joint. In total joint replacement parts of a joint are removed and replaced by an implant, the endoprosthesis. In partial joint replacement artificial surfaces replace only the movable surfaces of a joint. The healthy parts of the joint stay intact.

Bone cements can also treat cases of bone loss. For example, after severe accidents with multiple fractures in a bone. The name of this surgical technique is reconstruction of bone. It restores bone continuity mainly in patients suffering from tumor of the bone or in trauma.

Your doctor/surgeon applies the bone cement during surgery. The instructions for use give directions.

Your doctor/surgeon takes care of the following aspects during your surgery:

- The bone cement is applied to your carefully cleaned, aspirated, and dried bone.
- Your prosthesis is put in place and held until the bone cement has set completely.
- During and immediately after the bone cement is applied, your doctor/surgeon will monitor your blood pressure, pulse, and breathing carefully. This ensures early detection and treatment of adverse events such as low blood pressure and cardiac arrest. Drops in blood pressure have occurred remotely and shortly after application of bone cement. However, consequences such as cardiac arrest are only reported in very few cases.

It is safe to have magnetic resonance tests (MRI) with PALACOS<sup>®</sup> +G pro bone cements. But the composition of the prosthesis you receive together with the bone cement may affect your ability to have magnetic resonance tests. You will receive an implant card for the bone cement that was used. Additionally, you will receive an implant card for the prosthesis. Please keep these documents and provide them in future examinations (e.g., X-ray, CT scan, MRI).



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### 3.2.2 Device identification and general information

### 3.2.2.1 Products (device trade names) covered by this document

• PALACOS<sup>®</sup> R+G pro

### 3.2.2.2 Manufacturer name and address

Heraeus Medical GmbH Philipp-Reis-Str. 8/13 61273 Wehrheim Germany

### 3.2.2.3 Basic UDI-DI number of the concerned product

The unique device identification (UDI) consists of a series of numbers with letters. It allows the unmistakable identification of a specific medical device on the market. An UDI device identifier (UDI-DI) is specific to a device, connecting the product to the information on the EUDAMED database.

The following UDI-DI number is assigned to the product:

Product	Basic UDI-DI
PALACOS <sup>®</sup> R+G pro	4260102130201010001B9

### 3.2.2.4 Year of first CE-mark

Before a medical device is introduced on the market in the European Union, it needs to show that the product fulfils the requirements. The so-called CE-certification documents the fulfilment, and the CE-mark is placed on the product. The legal requirements for medical devices have changed in May 2021. Then, the Medical Device Regulation (MDR) replaced the Medical Device Directive (MDD).

The following table contains detailed information about the different product. The table lists the year of the first CEmark under MDR.

Product	Year of first CE-mark under MDR
PALACOS <sup>®</sup> R+G pro	pending

### 3.2.3 Intended use of the device

#### 3.2.3.1 Intended purpose

PALACOS<sup>®</sup> +G pro bone cements are intended for stable anchoring of total or partial joint replacements (endoprostheses) in living bone as well as for reconstruction of bone.

### 3.2.3.2 Indications and intended patient groups

PALACOS<sup>®</sup> +G pro bone cements are indicated for surgical treatment such as

- anchoring of endoprosthesis in primary and revision arthroplasty procedures of
  - o hip
  - o knee



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- o **ankle**
- o shoulder
- o **elbow**
- reconstruction of bone via induced membrane technique after tumor surgery and / or trauma

These treatments are typically conducted in adults, predominantly elderly patients with osteoarthritis and patients with trauma.

### 3.2.3.3 Contraindications/ advice against treatment

PALACOS<sup>®</sup> +G pro bone cements must not be used in the following cases:

- known or suspected intolerance against parts of the bone cement or against the antibiotic gentamicin
- infection at the site of the body where the surgery is planned
- patients with impaired kidney function
- reconstruction of skull bone defects
- spinal surgery
- children

#### 3.2.3.4 Lifetime of the device

There is no general factor influencing the lifetime of the PALACOS<sup>®</sup> +G pro bone cements. The general provisions for the prosthesis they anchor also apply to the bone cements. The actual lifetime of these bone cements can be influenced by factors such as your medical situation and your lifestyle.

### 3.2.4 Device description

PALACOS<sup>®</sup> +G pro bone cements are based on a biologically safe material called poly (methyl methacrylate) (PMMA) which has a long history of safe use in humans.

<u>Composition</u>

The cement consists of 2 main components, a powder and a liquid. The table below shows the composition of the components. Mixing of the components starts a chemical reaction. This so-called polymerization forms a soft dough. The dough becomes more and more solid over time. Your surgeon determines the right time for the application of the dough to the bone. There it hardens completely. In addition, the cement contains an antibiotic (gentamicin). Your treating surgeon choses the antibiotic to prevent an infection.

PALACOS<sup>®</sup> R+G pro Constituents Powder PMMA copolymer 82% Polymer (powder component) zirconium dioxide 15% X-ray contrast medium (enabling visualization with X-ray, CT or MRI) benzoyl peroxide 1% Chemical component initiating the polymerization reaction gentamicin sulfate 2% (Antibiotic) Liquid: methyl methacrylate 98% Monomer (liquid component) N, N-dimethyl-p-toluidine 2% Chemical component accelerating the polymerization reaction

PALACOS<sup>®</sup> R+G pro contains:

The data is rounded



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Other constituents:

- Powder: chlorophyll-copper-complex (E141) (Food colorant. Improving visibility of the bone cement in the surgical field)

- Liquid: chlorophyll-copper-complex (E141), hydroquinone (chemical component stabilizing the chemical reaction)

Traces of histamine may be present in the bone cement. But no manufacturing residuals that could pose a risk to you have been found. Be aware that the composition table shows the constituents <u>before</u> mixture of the bone cement components. The methyl methacrylate is completely used up during setting and forms the hardened bone cement. PALACOS<sup>®</sup> +G pro bone cements are intended for single-use and are supplied sterile.

### 3.2.5 Risks and warnings

Contact your doctor/surgeon if you believe that you are experiencing side effects. This applies for side effects related to the device or its use and also if you are concerned about risks. This document does not replace a consultation with your doctor/surgeon if needed.

Side effects are events that are known when using the device. They can be caused by the device.

Residual risks are risks which cannot be controlled by the device manufacturer. They are mostly related to the surgical procedure in general.

Adverse events are events that can occur in a clinical investigation. They have a negative impact mostly on the patient. No causal relationship with the device must be present.

Side effects and residual risks of the device can occur with different frequencies. Following frequencies could be relevant:

Frequent: > 1:1 000 Probable: 1:10 000 to 1:1 000 Occasional: 1:100 000 to 1:10 000 Remote: 1:1 000 000 to 1:100 000 Improbable: < 1:1 000 000

By way of example, in case that a side effect is considered as improbable, the side effect will occur in less than 1 out of 1 000 000 surgeries.

### Side effects

The following side effects can occur during or after the surgery.

Improbable: Allergic reaction including local reaction and allergic shock Renal impairment Bone or tissue changes (dissolution of bone or tissue modification to bone)\* Reddening of skin or tissue, hives **Residual risks** The following residual risks can occur during or ofter surgery

The following residual risks can occur during or after surgery.

Frequent\*

Bone cement implantation syndrome (BCIS) grade 1 (drop in blood pressure, moderate reduced oxygen supply)

<u>Remote</u>

BCIS grade 2 (drop in blood pressure, severe reduced oxygen supply, unexpected loss of consciousness) BCIS grade 3 (cardiovascular collapse, requiring CPR)

Frequent\*



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Loss of the implant due to different reasons (for example: insufficient connection between bone cement, endoprosthesis and/or bone; falls; fracture near the endoprosthesis)

Frequent\*

Bacterial infection including infection of the bone marrow and/or cellulitis

Improbable Numbness\* Blood loss\* Unequal limb length, loss of range of motion of the concerned part of the body, ambulation difficulties Necrosis of tissue due to heat\* Inflammation Swelling / Edema Fibrosis

\* residual risks that have not been reported to Heraeus Medical GmbH, but are known from literature and the stateof the-art.

Please contact your healthcare professional if you have any questions.

### Reporting of side effects, residual risks, or adverse events

If you experience any of these side effects or residual risks, or if you notice any adverse events not listed in this document, contact your doctor/surgeon immediately. You can also contact Heraeus Medical GmbH directly using the following email-address: hm.vigilance.medical@heraeus.com

### 3.2.6 Summary of clinical evaluation and post-market clinical follow-up

PALACOS<sup>®</sup> R+G was the first bone cement with an antibiotic introduced in 1972. All further products of the PALACOS<sup>®</sup> +G product family like PALACOS<sup>®</sup> MV+G, PALACOS<sup>®</sup> LV+G and PALACOS<sup>®</sup> fast R+G are based on PALACOS<sup>®</sup> R+G. They own few modifications in terms of product characteristics. These products were developed and placed on the market between 1975 (PALACOS<sup>®</sup> LV+G), 1998 (PALACOS<sup>®</sup> MV+G) and 2013 (PALACOS<sup>®</sup> fast R+G). PALACOS<sup>®</sup> +G bone cements treated a total of about 30 million patients worldwide so far. The product range of PALACOS<sup>®</sup> +G bone cements can be considered as state-of-the-art in the field of stable anchoring of joint endoprostheses as well as for reconstruction of bone.

The manufacturer performs the analysis of any clinical data regularly. Sources can be endoprosthesis registries and scientific publications, for example. These activities are called post-market clinical follow-up measures. They allow the continuous proof of the benefit/risk ratio of the medical device. Registries are databases which collect long-term results after application of products in patients. These databases can be initiated by governmental authorities, medical societies, or manufacturers. In most cases they collect data from hospitals or private practices on a regional or national level.

The following clinical benefits and outcome parameters relate to the use of the bone cements:

- Stable fixation of the endoprosthesis with a low risk of revision surgery. This is evaluated on the basis of long-term data from regional or national registries.
- Improvement of impaired body function with a high patient satisfaction. This is evaluated on the basis of quality-of-life data from registries.
- Relief of symptoms related to the surgical procedure with high patient success. This is evaluated on the basis of quality-of-life data from registries.
- Application of bone cements in combination with an antibiotic with a low risk of infection. This is evaluated on the basis of revisions that are caused by infections, compared to the overall number of revisions (based on data from registries).



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- Local use of an antibiotic within the bone cement can result in a reduced risk for side effects compared to oral or intravenous administration of the antibiotic. This is evaluated on the basis of complaints reported to manufacturer, evaluation of databases and data regarding the development of the medical device.
- The reconstruction of bone via induced membrane technique can result in the preservation of function of the limb or of the limb itself. This is evaluated by determination of the union of bone defects after tumor surgery and / or trauma.

The above-mentioned clinical benefits and clinical outcome parameters are important to decide on the benefit/risk ratio of the PALACOS<sup>®</sup> +G bone cements. The manufacturer evaluates the achievement of these clinical benefits.

The analysis revealed that PALACOS<sup>®</sup> R+G performed as expected in all aspects of the above-listed outcome parameters:

- Stable fixation was analyzed by two aspects: the rate at which operations needed to be repeated (revision rate) and the rate at which endoprostheses loosened over time (aseptic loosening). Both rates were in a range comparable with the current state-of-the-art. For example, the revision rate of PALACOS<sup>®</sup> R+G was reported to be 3.0% for primary hip and 3.9% for primary knee, which is comparable to benchmark standards (range for hip: 3.0% to 4.8%; range for knee: 3.4 5.8%).
- Impaired body function was evaluated through questionnaires. In these, patients have reported on how much they are impacted in their daily activities. In all cases, PALACOS<sup>®</sup> R+G was comparable to current state-of-the-art.
- Relief of symptoms was evaluated through questionnaires. In these, patients have reported on how much better their joint was after the surgery. In all cases, PALACOS<sup>®</sup> R+G was comparable to current state-of-the-art.
- The number of re-operations because of an infection at the site of surgery was comparable to the current state-of-the-art in patients who underwent their first operation with PALACOS<sup>®</sup> R+G and for revision surgeries.
- PALACOS<sup>®</sup> R+G contains an antibiotic that can also be given directly into the veins. From this it is known that too high amounts can cause severe side effects. In a clinical study, it was measured how high up the blood concentrations of antibiotics released from the bone cement would go after an operation with PALACOS<sup>®</sup> R+G. The result was that the values remained far below the levels which can lead to severe side effects.
- Reconstruction of bone via induced membrane technique was analyzed by the rate of successful bone union after two-stage reconstruction. The performance of PALACOS<sup>®</sup> R+G was comparable to the stateof-the-art.

Additionally, the scientific literature for PALACOS<sup>®</sup> R+G was thoroughly evaluated and 45 publications were identified and analyzed. Two additional publications from the equivalent device of PALACOS<sup>®</sup> R+G (PALACOS<sup>®</sup> MV+G) were included in the analysis as well. It can be summarized that all data show favorable clinical results for PALACOS<sup>®</sup> R+G.

In conclusion, the success rates of the clinical benefits were comparable to or better than the current state-of-theart.

Therefore, the manufacturer confirms that the benefits outweigh the risks for the indications of PALACOS® R+G pro:

- anchoring of endoprosthesis in primary and revision arthroplasty procedures of
  - o hip
  - o knee
  - o ankle
  - o shoulder
  - o **elbow**
- reconstruction of bone via induced membrane technique after tumor surgery and/or trauma.



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The following activities are planned to ensure safety and performance of PALACOS® +G pro bone cements:

- Device Registry Analysis, to monitor the safety and performance of PALACOS® +G pro bone cements
- Screening of Scientific Literature, to monitor the safety and performance of PALACOS<sup>®</sup> +G pro bone cements
- Authority Databases (adverse events and recalls), to monitor the safety of PALACOS<sup>®</sup> +G pro bone cements

The same activities are performed for similar products, in order to detect potential safety or performance issues early. The results will be summarized in reports. These activities will be conducted on an annual basis in connection with the continuous updates of the clinical evaluations.

### 3.2.7 Possible diagnostic or therapeutic alternatives

### General information

Contact your doctor/surgeon when you consider alternative treatments. Depending on your individual situation, two treatment approaches are possible. On the one hand conservative treatment such as physiotherapy or pain medication without surgery is possible. On the other hand, surgical treatment such as joint surgery like hip replacement surgery could be reasonable. Choice of treatment depends on your specific condition and your doctor's opinion.

### Joint surgery

If possible, your doctor/surgeon will try to treat defective joints by other means. If all other treatment options fail, a reconstructive joint surgery may be necessary. This means, the complete joint or only parts of the joint are replaced by an endoprosthesis. Joint surgeries and endoprosthesis revision operation as well as the use of PMMA bone cements are very well-established procedures in joint replacement surgery.

PMMA is widely and successfully used for the fixation of various endoprostheses since decades. At present, PMMA is still the most commonly used fixation material in primary joint surgeries. Uncemented procedures have also been used in primary joint surgeries. However, current data do not allow to determine if cementless or cemented generally perform better in joint surgeries. The advantage of the cemented procedures using PMMA is the long-term experience with this material. Also, the majority of orthopedic surgeons is familiar with the use of PMMA. Furthermore, bone cement can apply local antibiotics. This allows for infection prevention in patients at risk for infection. In addition, bone cements generally spread the force of movement evenly into the bone. Especially in patients with poor bone substance this is an advantage. Your doctor/surgeon will decide on the procedure that fits to your specific clinical condition best.

There is no other treatment option than a surgery in patients with suspected or confirmed infection of the implanted device (so-called prosthetic joint infections). Such a revision surgery can be either a one-stage or a two-stage surgery. A so-called one-stage surgery takes place in a single surgical step. The surgeon removes the infected prosthesis and bone cement, cleans the surgical site thoroughly, and places a new prosthesis. A so-called two-stage approach consists of two separate surgeries. During the first surgery, the surgeon removes the infected prosthesis and bone cement, cleans the surgical site thoroughly, and places a provisional spacer. This ensures proper treatment of the infection. The spacer also provides a limited range of motion during the time until the second operation. After the infection is cured, the second surgery takes place. The surgeon removes the provisional spacer and places a new permanent prosthesis. The attending surgeon will choose the appropriate surgical approach according to the patient's situation.

### Reconstruction of bone

Oncological treatment or trauma may lead to bone loss. PMMA bone cement is able to fill certain bone defects depending on the depth and surface of the defect. The method "Induced Membrane Technique" can support new bone growth in an area where part of a bone had to be removed due to cancer or was lost due to trauma. For this approach bone cement is only placed between the ends of a defect for a short period of time. The bone cement is not fixed to the bone.



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For larger defects further therapy options have to be considered. Therapy options like human tissues from donors, metal implants or custom-made protheses are available. The attending surgeon will choose the appropriate surgical approach according to the patient's situation.



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